



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Paul HAVERSTOCK *et al.*

Serial No.: 09/100,129

Filed: June 19, 1998

For: WEB SERVER WITH AUTOMATED WORKFLOW

) Attorney Docket No.: 23452-034  
)  
)

) Group Art Unit: 2152  
)

) Examiner: P. Kang  
)  
)

**DECLARATION OF PAUL HAVERSTOCK**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Paul Haverstock, hereby declare as follows:

1. I am an inventor of the invention claimed in the above-identified patent application.
2. Prior to May 29, 1997, Miguel Estrada, Julio Estrada and I collectively completed the invention claimed in the above-identified patent application.
3. Prior to May 29, 1997, Miguel Estrada, Julio Estrada and I conceived of and reduced to practice the invention claimed in the above-identified patent application.
4. Exhibit A is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997. Exhibit B is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997.
5. The invention claimed in the above-identified patent application includes a workflow module that facilitates one or more object management tasks of a server. The

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invention may route applications, forms, and documents during a workflow process. See, Exhibit A.

6. The invention claimed in the above-identified patent application also includes notifying at least one user that at least one action is required. Exhibit A states that a delegation rule may be set up “that automatically routes your email to a person who you have assigned to the task.” See, Exhibit A.

7. The invention claimed in the above-identified patent application also states that the workflow module performs the one or more object management tasks without requiring user input. Exhibit B demonstrates that the invention performs object management tasks of a server without requiring user input by streamlining time consuming, people-based activities and automating, assigning, and managing business processes. See, Exhibit B, pages 1-2.

8. Exhibit B also demonstrates that the invention enables workflow applications to be created to perform object management tasks. See Exhibit B, page 2.

9. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables users to assign, schedule, route, and track various workflow processes. See, Exhibit B, page 2.

10. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables defining and automating of workflow activities. See, Exhibit B, page 2.

11. The invention claimed in the above-identified patent application also states that someone other than a creator of a non-markup language object is notified that an action is required. Exhibit B demonstrates that the invention, as known prior to May

DECLARATION OF PAUL HAVERSTOCK

U.S. Application Serial No. 09/100,129

Attorney Docket No. 23452-034


29, 1997, "automatically assigns activities to a substitute if someone isn't available."

See, Exhibit B, page 3.

12. Exhibit B also demonstrates that the invention, as known prior to May 29, 1997, enables users to standardize workflow processes such as approval routes and authorized signer names. See, Exhibit B, page 5.

13. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; further, these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, under §1001, under Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application and any patent issued thereon.

Date: 28 Nov 2001



Paul Haverstock

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S. Sand  
12/20/01



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	)	
Paul HAVERSTOCK <i>et al.</i>	)	Group Art Unit: 2152
	)	
Serial No.: 09/100,129	)	Examiner: P. Kang
	)	
Filed: June 19, 1998	)	
	)	
For: WEB SERVER WITH AUTOMATED WORKFLOW	)	

DECLARATION OF MIGUEL ESTRADA

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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I, Miguel Estrada, hereby declare as follows:

1. I am an inventor of the invention claimed in the above-identified patent application.
2. Prior to May 29, 1997, Paul Haverstock, Julio Estrada, and I collectively completed the invention claimed in the above-identified patent application.
3. Prior to May 29, 1997, Paul Haverstock, Julio Estrada, and I conceived of and reduced to practice the invention claimed in the above-identified patent application.
4. Exhibit A is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997. Exhibit B is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997.
5. The invention claimed in the above-identified patent application includes a workflow module that facilitates one or more object management tasks of a server. The

invention may route applications, forms, and documents during a workflow process. See, Exhibit A.

6. The invention claimed in the above-identified patent application also includes notifying at least one user that at least one action is required. Exhibit A states that a delegation rule may be set up “that automatically routes your email to a person who you have assigned to the task.” See, Exhibit A.

7. The invention claimed in the above-identified patent application also states that the workflow module performs the one or more object management tasks without requiring user input. Exhibit B demonstrates that the invention performs object management tasks of a server without requiring user input by streamlining time consuming, people-based activities and automating, assigning, and managing business processes. See, Exhibit B, pages 1-2.

8. Exhibit B also demonstrates that the invention enables workflow applications to be created to perform object management tasks. See Exhibit B, page 2.

9. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables users to assign, schedule, route, and track various workflow processes. See, Exhibit B, page 2.

10. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables defining and automating of workflow activities. See, Exhibit B, page 2.

11. The invention claimed in the above-identified patent application also states that someone other than a creator of a non-markup language object is notified that an action is required. Exhibit B demonstrates that the invention, as known prior to May

DECLARATION OF MIGUEL ESTRADA

U.S. Application Serial No. 09/100,129

Attorney Docket No. 23452-034

29, 1997, "automatically assigns activities to a substitute if someone isn't available."

See, Exhibit B, page 3.

12. Exhibit B also demonstrates that the invention, as known prior to May 29, 1997, enables users to standardize workflow processes such as approval routes and authorized signer names. See, Exhibit B, page 5.

13. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; further, these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, under §1001, under Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application and any patent issued thereon.

Date: 11-30-01

  
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Miguel Estrada



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Patent Application of:	)	Attorney Docket No.: 23452-034
Paul HAVERSTOCK <i>et al.</i>	)	Group Art Unit: 2152
Serial No.: 09/100,129	)	Examiner: P. Kang
Filed: June 19, 1998	)	
For: WEB SERVER WITH AUTOMATED WORKFLOW	)	

DECLARATION OF JULIO ESTRADA

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Julio Estrada, hereby declare as follows:

1. I am an inventor of the invention claimed in the above-identified patent application.
2. Prior to May 29, 1997, Paul Haverstock, Miguel Estrada and I collectively completed the invention claimed in the above-identified patent application.
3. Prior to May 29, 1997, Paul Haverstock, Miguel Estrada and I conceived of and reduced to practice the invention claimed in the above-identified patent application.
4. Exhibit A is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997. Exhibit B is a publication that describes the invention claimed in the above-identified patent application as known prior to May 29, 1997.

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5. The invention claimed in the above-identified patent application includes a workflow module that facilitates one or more object management tasks of a server. The invention may route applications, forms, and documents during a workflow process. See, Exhibit A.

6. The invention claimed in the above-identified patent application also includes notifying at least one user that at least one action is required. Exhibit A states that a delegation rule may be set up “that automatically routes your email to a person who you have assigned to the task.” See, Exhibit A.

7. The invention claimed in the above-identified patent application also states that the workflow module performs the one or more object management tasks without requiring user input. Exhibit B demonstrates that the invention performs object management tasks of a server without requiring user input by streamlining time consuming, people-based activities and automating, assigning, and managing business processes. See, Exhibit B, pages 1-2.

8. Exhibit B also demonstrates that the invention enables workflow applications to be created to perform object management tasks. See Exhibit B, page 2.

9. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables users to assign, schedule, route, and track various workflow processes. See, Exhibit B, page 2.

10. Exhibit B also demonstrates that the invention claimed in the above-identified patent application enables defining and automating of workflow activities. See, Exhibit B, page 2.




DECLARATION OF JULIO ESTRADA  
U.S. Application Serial No. 09/100,129  
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11. The invention claimed in the above-identified patent application also states that someone other than a creator of a non-markup language object is notified that an action is required. Exhibit B demonstrates that the invention, as known prior to May 29, 1997, "automatically assigns activities to a substitute if someone isn't available." See, Exhibit B, page 3.

12. Exhibit B also demonstrates that the invention, as known prior to May 29, 1997, enables users to standardize workflow processes such as approval routes and authorized signer names. See, Exhibit B, page 5.

13. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; further, these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, under §1001, under Title 18 of the United States Code and that such willful and false statements may jeopardize the validity of the application and any patent issued thereon.

Date: 11.19.01

  
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Julio Estrada